

Riverside Community Care

THE HELP YOU NEED CLOSE TO HOME

LIFE SKILLS REFERRAL

Please fill out and return to: Kerry Roberts

DMH

361 Plantation St.
Worcester, MA 01605
T: 774.420.3104
F: 774-420-3166.

kerry.roberts@state.ma.us

1. Client Name:

2. Date of Referral:

3. Date of Birth:

4. Identified Gender

5. Current Address:

6. Telephone Number:

7. Parent/Guardian Address:

Parent 1:

Parent :

Tel.# (H) (W)

Tel.# (H) (W)

8. Guardianship / Custody Status:

Name:

Address:

9. Emergency Information:

10. Presenting Problem: (Be specific re: behaviors)

11. Goals (Life Skills)

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Other

12. Entitlements: (Please check appropriate Entitlement)

GRF (General Relief) () AFDC ()

SSI (Social Security Income) () Other(Please Define) ()

13. Education:

Grade: School:

Town: Date of CORE Evaluation:

Date of IEP:

14. Psychiatric Hospitalizations: Total Number:

Hospital (Most Recent)	Date	Reason for Hospitalization
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15. Out – Home – Placements:

Placement	Date
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16. DSM Diagnosis:

Date:	Where From:
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Code	Diagnosis
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17. Symptomatology:

History of any of the following:

(c = Currently, H = History, B = Both)

Suicidality () Assaultive ()

Fire Setting () Escape Risk ()

Sexualized Behaviors () Sexual Abuse ()

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Psychotic Symptoms ()

Self-Harm ()

Other (Please Explain) _____

18. DHM application submitted () yes () no

19. Drug / Alcohol Abuse (Be specific around type, frequency, and duration):

20. Prescribed Medications / Known Allergies or Medical Problems:

a. Medications

b. Allergies / Medical Problems

21.. Multigenerational Family History:

(Briefly mention psychiatric, drug / alcohol, or abuse Hx):

22. Criminal Justice History:

23. Contacts: (Include Telephone Number):

A. DMH (Dept. of Mental Health) _____

B. DYS (Dept. of Youth Services) _____

C. DCF (Dept. of Children and Families) _____

D. SPED Liaison (School) _____

E. Therapist _____

F. Psychiatrist _____

G. Other (Please Explain) _____

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24. Insurance:

Policy #

25. Referral Source:

Name: _____ Relationship: _____

Telephone Number: _____

Additional information (to speed referral)

DMH Application if not already approved or submitted

Any Clinical Documentation to support Diagnosis (testing, discharge summaries...)



Purpose:

The Department of Mental Health (DMH) seeks to facilitate independence and recovery by providing services and supports to children, youth and families with serious emotional disturbance and to adult individuals with serious and persistent mental illness.

How to Request DMH Service(s):

Individuals who request mental health services through DMH must submit the following forms. It is essential that **signatures and dates** be included where indicated on each form:

- Request for DMH Services* application. (see pages 5 – 9)
- Signed *DMH Service Authorization Determination* (see page 10)
- Signed *Authorization(s) for Release of Information* (see pages 11 & 12)

The following information is needed to make a decision within the regulatory time frames. It is strongly recommended that clinical information be submitted at the time of the application to expedite the determination process. If you aren't including clinical documentation, please include a signed release of information for current providers including any hospitals where you may have received treatment. Such information includes:

- Outpatient psychiatric records and testing.
- Admission and discharge reports or summaries.
- Assessments (e.g., Psychiatric and Psychosocial).
- Neuropsychological testing
- Treatment Plans
- Individualized Educational Plan (IEP)* if one is in place.

DMH may require additional clinical information as necessary.

What To Expect After an Application is Submitted:

Within seven (7) days of receipt of a *Request for DMH Services* application, DMH will contact the applicant or guardian to acknowledge receipt of the *Request for DMH Services* application.

A DMH *Clinical Service Authorization Specialist* may require, as necessary, a face-to-face meeting with the applicant and/or guardian to further discuss and assess the needs of the applicant and/or the family.

If an individual is found to meet the clinical criteria for services, the Area Director or designee must determine whether the individual needs DMH services. Since the availability of DMH services is limited, DMH must prioritize to whom and how those services are provided. DMH regulations establish the criteria used to determine who is authorized to receive DMH services, and how those services are assigned.

The DMH Area Director or designee will make decisions regarding service requests upon receiving and reviewing information in accordance with DMH regulations: <https://www.mass.gov/files/documents/2018/07/03/104cmr29.pdf>

The time needed to make a determination may vary based on the availability of supporting documentation. A decision will be made within 90 days of DMH receiving the application, based upon the information that is available.

Where to find Applications and Authorizations for Release of Information forms:

The *Request for DMH Services Applications* and *Authorization for Release of Information* are available in the DMH Area and Site Offices, acute inpatient psychiatric facilities, and in many community programs throughout the Commonwealth. They are also available from the DMH website at <http://www.mass.gov/eohhs/gov/departments/dmh/service-application-forms-and-appeal-guidelines.html>

DMH can provide interpreter and document translation services so the applicant or guardian can use their preferred language to communicate with DMH.



Where to send the Request for DMH Services:

Please find the applicant’s city or town in the list that appears on the following pages, and send the application to the respective DMH Office from the lists below. Please call the number listed if there are any questions.

A **signed** and **dated** Request for DMH Services application, and **properly signed** Authorization for Release of Information forms must be delivered, mailed, faxed, or scanned and e-mailed to the DMH Area or Site Office with responsibility for the community where the applicant, parent or legal guardian resides at the time of application.

If you need assistance with completing the Request for DMH Services please contact the office below that serves the town in which the applicant lives.

Office	Mailing Address	Phone Number	Fax Number
Boston	85 East Newton Street, Boston, MA 02118	(617) 626-9200	(617) 626-9216
Brockton	165 Quincy Street, Brockton, MA 02302	(508) 897-2000	(508) 897-2047
Northampton	1 Prince Street, Northampton, MA 01060	(413) 587-6200	(413) 587-6240
Tewksbury	P.O. Box 387, Tewksbury, MA 01876-0387	(978) 863-5000	(978) 863-5091
Worcester	361 Plantation St. Worcester, MA 01605	(774) 420-3140	(774) 420-3165

Applications should NOT be sent to the DMH Central Office on Staniford Street in Boston. Doing so will result in misdirected applications, and may cause delays in the decision process.

Race and Ethnicity Categories:

Race and Ethnicity information is requested so that DMH may better provide person-centered services that are culturally and linguistically appropriate. It also helps the Department comply with regulations and standards, and allows for the planning of unmet service needs. **Providing information about Race and Ethnicity is optional.** The decision to do so, or not, will not affect the application for DMH services.

The following options may be used to complete the Race and Ethnicity sections on the Request for DMH Services. In filling out the application, persons who are of more than one race or ethnicity are invited to identify as such.

The racial categories listed below are based on a standard set by the federal government. Ethnicity is defined as the group of people who are connected by a common national origin, history, ancestry, language or customs and cultural experiences. The following list is provided to show some examples of ethnicities or ethnic groups, and is not meant to be a complete listing.

Race Options
American Indian/Alaska Native
Asian
Black/African American
Black/Hispanic
Chooses Not To Self-Identify
Native Hawaiian or other Pacific Islander
Other
Two or More Races
Unknown
White/Hispanic
White/Non-Hispanic

Ethnicity Examples		
Albanian	Greek	Pakistani
American - USA	Guatemalan	Peruvian
Armenian	Haitian	Panamanian
Bhutanese	Hispanic, Other	Polish
Bosnian	Hmong	Portuguese
Brazilian	Honduran	Puerto Rican
Burmese	Indian	Russian
Cambodian	Iranian	Salvadoran
Canadian	Iraqi	Somali
Cape Verdean	Irish	Thai
Chinese	Israeli	Tibetan
Colombian	Italian	Ukrainian
Congolese	Japanese	Unknown
Costa Rican	Korean	Venezuelan
Dominican	Laotian	Vietnamese
Egyptian	Lebanese	West Indian/Caribbean
Eritrean	Mexican	
Ethiopian	Moroccan	Two or More Ethnicities
Filipino	Nigerian	Other
French	Nicaraguan	Chooses Not To Self-Identify



City or Town	DMH Office	City or Town	DMH Office	City or Town	DMH Office	City or Town	DMH Office
Abington	Brockton	Boston - Hyde Park	Boston	Danvers	Tewksbury	Hadley	Northampton
Acton	Tewksbury	Boston-Jamaica Plain	Boston	Dartmouth	Brockton	Halifax	Brockton
Acushnet	Brockton	Boston - Mattapan	Boston	Dedham	Worcester	Hamilton	Tewksbury
Adams	Northampton	Boston - North End	Boston	Deerfield	Northampton	Hampden	Northampton
Agawam	Northampton	Boston - Revere	Boston	Dennis	Brockton	Hancock	Northampton
Alford	Northampton	Boston - Roslindale	Boston	Dennisport	Brockton	Hanover	Brockton
Allston	Boston	Boston - Roxbury	Boston	Dighton	Brockton	Hanson	Brockton
Amesbury	Tewksbury	Boston - Somerville	Boston	Dorchester	Boston	Hardwick	Worcester
Amherst	Northampton	Boston - South End	Boston	Douglas	Worcester	Harvard	Worcester
Andover	Tewksbury	Boston - Southie	Boston	Dover	Worcester	Harwich	Brockton
Aquinnah	Brockton	Boston - W. Roxbury	Boston	Dracut	Tewksbury	Harwichport	Brockton
Arlington	Tewksbury	Boston - Winthrop	Boston	Dudley	Worcester	Hatfield	Northampton
Ashburnham	Worcester	Bourne	Brockton	Dunstable	Tewksbury	Haverhill	Tewksbury
Ashby	Worcester	Boxborough	Tewksbury	Duxbury	Brockton	Hawley	Northampton
Ashfield	Northampton	Boxford	Tewksbury	East Boston	Boston	Heath	Northampton
Ashland	Worcester	Boylston	Worcester	E. Bridgewater	Brockton	Hingham	Brockton
Assonet	Brockton	Bradford	Tewksbury	East Brookfield	Worcester	Hinsdale	Northampton
Athol	Northampton	Braintree	Brockton	E. Longmeadow	Northampton	Holbrook	Brockton
Attleboro	Brockton	Brewster	Brockton	East Sandwich	Brockton	Holden	Worcester
Auburn	Worcester	Bridgewater	Brockton	Eastham	Brockton	Holland	Worcester
Avon	Brockton	Brighton	Boston	Easthampton	Northampton	Holliston	Worcester
Ayer	Worcester	Brimfield	Worcester	Easton	Brockton	Holyoke	Northampton
Back Bay	Boston	Brockton	Brockton	Edgartown	Brockton	Hopedale	Worcester
Baldwinville	Worcester	Brookfield	Worcester	Egremont	Northampton	Hopkinton	Worcester
Barnstable	Brockton	Brookline	Boston	Erving	Northampton	Hubbardston	Worcester
Barre	Worcester	Buckland	Northampton	Essex	Tewksbury	Hudson	Worcester
Beacon Hill	Boston	Burlington	Tewksbury	Everett	Tewksbury	Hull	Brockton
Becket	Northampton	Buzzards Bay	Brockton	Fairhaven	Brockton	Huntington	Northampton
Bedford	Tewksbury	Byfield	Tewksbury	Fall River	Brockton	Hyannis	Brockton
Belchertown	Northampton	Cambridge	Boston	Falmouth	Brockton	Hyde Park	Boston
Bellingham	Worcester	Canton	Worcester	Fenway	Boston	Ipswich	Tewksbury
Belmont	Tewksbury	Carlisle	Tewksbury	Fiskdale	Worcester	Jamaica Plain	Boston
Berkeley	Brockton	Carver	Brockton	Fitchburg	Worcester	Jefferson	Worcester
Berlin	Worcester	Charlemont	Northampton	Florida	Northampton	Kingston	Brockton
Bernardston	Northampton	Charlestown	Boston	Foxborough	Worcester	Lakeville	Brockton
Beverly	Tewksbury	Charlton	Worcester	Framingham	Worcester	Lancaster	Worcester
Billerica	Tewksbury	Chatham	Brockton	Franklin	Worcester	Lanesborough	Northampton
Blackstone	Worcester	Chelmsford	Tewksbury	Freetown	Brockton	Lawrence	Tewksbury
Blandford	Northampton	Chelsea	Boston	Gardner	Worcester	Lee	Northampton
Bolton	Worcester	Cherry Valley	Worcester	Gay Head	Brockton	Leicester	Worcester
Bondsville	Northampton	Cheshire	Northampton	Georgetown	Tewksbury	Lenox	Northampton
Boston - Allston	Boston	Chester	Northampton	Gilbertville	Worcester	Leominster	Worcester
Boston - Back Bay	Boston	Chesterfield	Northampton	Gill	Northampton	Leverett	Northampton
Boston - Beacon Hill	Boston	Chicopee	Northampton	Gloucester	Tewksbury	Lexington	Tewksbury
Boston - Brighton	Boston	Chilmark	Brockton	Goshen	Northampton	Leyden	Northampton
Boston - Brookline	Boston	Clarksburg	Northampton	Gosnold	Brockton	Lincoln	Tewksbury
Boston - Cambridge	Boston	Clinton	Worcester	Grafton	Worcester	Linwood	Worcester
Boston-Charlestown	Boston	Cohasset	Brockton	Granby	Northampton	Littleton	Tewksbury
Boston - Chelsea	Boston	Colrain	Northampton	Granville	Northampton	Longmeadow	Northampton
Boston - Chinatown	Boston	Concord	Tewksbury	Great Barrington	Northampton	Lowell	Tewksbury
Boston - Dorchester	Boston	Conway	Northampton	Green Harbor	Brockton	Ludlow	Northampton
Boston - Downtown	Boston	Cotuit	Brockton	Greenfield	Northampton	Lunenburg	Worcester
Boston - East	Boston	Cummington	Northampton	Groton	Worcester	Lynn	Tewksbury
Boston - Fenway	Boston	Dalton	Northampton	Groveland	Tewksbury	Lynnfield	Tewksbury



City or Town	DMH Office	City or Town	DMH Office	City or Town	DMH Office	City or Town	DMH Office
Malden	Tewksbury	North Truro	Brockton	Sandisfield	Northampton	Wales	Worcester
Manchester	Tewksbury	Northampton	Northampton	Sandwich	Brockton	Walpole	Worcester
Manomet	Brockton	Northborough	Worcester	Saugus	Tewksbury	Waltham	Tewksbury
Mansfield	Brockton	Northbridge	Worcester	Savoy	Northampton	Ware	Northampton
Marblehead	Tewksbury	Northfield	Northampton	Scituate	Brockton	Wareham	Brockton
Marion	Brockton	Norton	Brockton	Seekonk	Brockton	Warren	Worcester
Marlborough	Worcester	Norwell	Brockton	Sharon	Worcester	Warwick	Northampton
Marshfield	Brockton	Norwood	Worcester	Sheffield	Northampton	Washington	Northampton
Marston Mills	Brockton	Oak Bluffs	Brockton	Shelburne	Northampton	Watertown	Tewksbury
Martha's Vineyard	Brockton	Oakham	Worcester	Sherborn	Worcester	Wayland	Worcester
Mashpee	Brockton	Onset	Brockton	Shirley	Worcester	Webster	Worcester
Mattapan	Boston	Orange	Northampton	Shrewsbury	Worcester	Wellesley	Worcester
Mattapoisett	Brockton	Orleans	Brockton	Shutesbury	Northampton	Wellfleet	Brockton
Maynard	Tewksbury	Osterville	Brockton	Somerset	Brockton	Wendell	Northampton
Medfield	Worcester	Otis	Northampton	Somerville	Boston	Wenham	Tewksbury
Medford	Tewksbury	Oxford	Worcester	South Boston	Boston	West Barnstable	Brockton
Medway	Worcester	Palmer	Northampton	South Hadley	Northampton	West Boylston	Worcester
Melrose	Tewksbury	Paxton	Worcester	South Wellfleet	Brockton	West Bridgewater	Brockton
Mendon	Worcester	Peabody	Tewksbury	South Yarmouth	Brockton	West Brookfield	Worcester
Merrimac	Tewksbury	Pelham	Northampton	Southampton	Northampton	West Newbury	Tewksbury
Methuen	Tewksbury	Pembroke	Brockton	Southborough	Worcester	West Roxbury	Boston
Middleborough	Brockton	Pepperell	Worcester	Southbridge	Worcester	West Springfield	Northampton
Middlefield	Northampton	Peru	Northampton	Southwick	Northampton	West Stockbridge	Northampton
Middleton	Tewksbury	Petersham	Northampton	Spencer	Worcester	West Tisbury	Brockton
Milford	Worcester	Phillipston	Northampton	Springfield	Northampton	West Yarmouth	Brockton
Millbury	Worcester	Pittsfield	Northampton	Sterling	Worcester	Westborough	Worcester
Millers Falls	Northampton	Plainfield	Northampton	Stockbridge	Northampton	Westfield	Northampton
Millis	Worcester	Plainville	Worcester	Stoneham	Tewksbury	Westford	Tewksbury
Millville	Worcester	Plymouth	Brockton	Stoughton	Brockton	Westhampton	Northampton
Milton	Brockton	Plympton	Brockton	Stow	Tewksbury	Westminster	Worcester
Monroe	Northampton	Pocasset	Brockton	Sturbridge	Worcester	Weston	Worcester
Monson	Northampton	Princeton	Worcester	Sudbury	Worcester	Westport	Brockton
Montague	Northampton	Provincetown	Brockton	Sunderland	Northampton	Westwood	Worcester
Monterey	Northampton	Quincy	Brockton	Sutton	Worcester	Weymouth	Brockton
Montgomery	Northampton	Randolph	Brockton	Swampscott	Tewksbury	Whately	Northampton
Mt Washington	Northampton	Raynham	Brockton	Swansea	Brockton	White Horse Bch	Brockton
Nahant	Tewksbury	Reading	Tewksbury	Taunton	Brockton	Whitinsville	Worcester
Nantucket	Brockton	Rehoboth	Brockton	Teaticket	Brockton	Whitman	Brockton
Natick	Worcester	Revere	Boston	Templeton	Worcester	Wilbraham	Northampton
Needham	Worcester	Richmond	Northampton	Tewksbury	Tewksbury	Williamsburg	Northampton
New Ashford	Northampton	Rochdale	Worcester	Thorndike	Northampton	Williamstown	Northampton
New Bedford	Brockton	Rochester	Brockton	Three Rivers	Northampton	Wilmington	Tewksbury
New Braintree	Worcester	Rockland	Brockton	Tisbury	Brockton	Winchendon	Worcester
New Marlborough	Northampton	Rockport	Tewksbury	Tolland	Northampton	Winchester	Tewksbury
New Salem	Northampton	Roslindale	Boston	Topsfield	Tewksbury	Windsor	Northampton
Newbury	Tewksbury	Rowe	Northampton	Townsend	Worcester	Winthrop	Boston
Newburyport	Tewksbury	Rowley	Tewksbury	Truro	Brockton	Woburn	Tewksbury
Newton	Worcester	Roxbury	Boston	Turners Falls	Northampton	Wollaston	Brockton
Norfolk	Worcester	Royalston	Northampton	Tyngsborough	Tewksbury	Woods Hole	Brockton
North Adams	Northampton	Russell	Northampton	Tyringham	Northampton	Worcester	Worcester
North Andover	Tewksbury	Rutland	Worcester	Upton	Worcester	Worthington	Northampton
North Attleboro	Brockton	Sagamore	Brockton	Uxbridge	Worcester	Wrentham	Worcester
North Brookfield	Worcester	Salem	Tewksbury	Vineyard Haven	Brockton	Yarmouth	Brockton
North Reading	Tewksbury	Salisbury	Tewksbury	Wakefield	Tewksbury	Yarmouthport	Brockton



Applicant Legal Name _____ **SSN** _____
(Last) (First) (Middle) (Social Security Number)

Applicant Preferred Name (if different than legal name) _____
(Last) (First) (Middle)

Address (Or last known address if applicant is homeless):

(Number and Street) (Apt No) (City) (State) (Zip Code)

Mailing Address (if different than address above)

(Number and Street) (Apt No) (City) (State) (Zip Code)

Birthdate ____/____/____ **Age** ____ **Gender** ____ **Marital Status** ____
MM DD YYYY

Race _____ **Ethnicity** _____ **Preferred Language** _____

Are interpreter services needed?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
➤ If yes, who needs an interpreter?	<input type="checkbox"/> Applicant	<input type="checkbox"/> Parent	<input type="checkbox"/> Guardian
Is applicant deaf or hard of hearing?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Is applicant legally blind (vision <20/200 or totally blind)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If 18 or older, is applicant a registered voter (optional):	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Unknown
Has applicant served in the military?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Unknown

(Please check all that apply below, and provide phone number/e-mail address)

- Day/Work Phone () _____
- Evening Phone () _____
- Cell Phone () _____
- e-mail _____

- May we leave a message? Yes No
- May we leave a message? Yes No
- May we leave a message? Yes No
- May we leave a message? Yes No

Was applicant adopted, or in the adoption process? Yes No Unknown

Parent/Guardian Preferred Language (if applicant is under 18): _____

If applicant is under age 18, who has **legal** custody? Parent DCF Court Appointed Self (emancipated)

If applicant is under age 18, who has **physical** custody? Parent DCF DYS Self (emancipated)

Additional Contact Information (Please Include a Release of Information for each person listed)

Name of Emergency Contact _____

Relationship to applicant: _____ Phone # _____

Address: _____

Parent/Agency Contact (1) _____

Relationship to applicant: _____ Phone # _____

Address: _____

Parent/Agency Contact (2) _____

Relationship to applicant: _____ Phone # _____

Address: _____



Education/School/College Information

Is applicant currently in school? Yes No Unknown

- If yes, school and town/city _____

Who is the responsible *Local Educational Agency* (LEA)? _____

Does applicant have an *Individualized Education Plan (IEP)*? Yes No Unknown

If Yes, what type of special education service(s) is the applicant receiving? (Please check all that apply.)

- Residential Day Unknown
 Other (Please specify): _____

Is this a 688 referral? Yes No Unknown

Does applicant have a *504 Accommodation Plan*? Yes No Unknown

Health Insurance:

Current Coverage (check all that apply):

Medicare: Policy# _____

Medicaid/MassHealth: Policy#: _____

Type: _____

Subscriber: _____

➤ Is applicant currently enrolled in **Children's Behavioral Health Initiative (CBHI)** Services? Yes No
If "yes," please identify services person is receiving and Community Service Agency (CSA) below.

- Name of *Community Service Agency (CSA)*: _____
- CBHI service(s) applicant is currently receiving: _____

➤ Is applicant currently enrolled in an **Accountable Care Organization (ACO)**? Yes No
If "yes," please identify name and contact information below.

➤ Is applicant currently enrolled in a **Behavioral Health Community Partner (BHCP)**? Yes No
If "yes," please identify name and contact information below.

One Care
Plan: _____

Commercial/Private Policy#: _____
Insurance Company: _____
Subscriber: _____

No Health Insurance
Is an application for health insurance pending? Yes No
If yes, please specify insurance: _____



Primary Mental Health Care Provider:

Please indicate who provides the applicant with regular mental health care. If there is no regular source of mental health care, use this section to indicate the most recent source of mental health care.

Primary Mental Health Provider: _____
(Last) (First)

Current Provider? Yes No

Agency Name: _____

Address: _____
(Number and Street) (Apt No.) (City) (State) (Zip Code)

Telephone Number: _____ Extension: _____

Diagnosis Information:

Does the applicant have a current psychiatric diagnosis? Yes No Unknown

If yes, please list all known diagnoses:

Other Supports

Name	Relationship <small>(e.g., family, therapist, clergy, etc.)</small>	Address	Phone	Release of Information Included? <small>(Y/N)</small>



General Physical Health:

Please indicate who provides regular medical care for the applicant. If there is no regular source of medical health care, use this section to indicate the most recent source of medical care.

Primary **Medical Care** Provider: _____ Current Provider? Yes No

Agency Name: _____

Provider's Address: _____

Provider's Phone Number: _____

Are there any medical problems that require ongoing care? Yes No Unknown

Has there ever been a diagnosis of a neurological problem? Yes No Unknown

If "yes," please describe any current medical or neurological problems:

Medications

Is the applicant currently taking any medications? Yes No Unknown

If yes, please list **medications, dosages** and **prescriber**:

Why is the applicant applying for services?

What kinds of services are needed?



DMH SERVICE AUTHORIZATION DETERMINATION

- I request that the Department of Mental Health (DMH) conduct a DMH service authorization determination. I have attached Signed *Authorization for Release of Information* forms to this application if necessary. I understand that DMH will collect and review medical records as part of the determination process. I understand that my name and information about me will be included in a DMH record keeping system.
- DMH may require a personal interview with me or a clinical evaluation in circumstances where the available clinical records are not sufficient to make a determination.
- I will be required to disclose information about my income and insurance and may be charged for services according to my ability to pay.
- I understand the decision of DMH may be appealed when it is determined the applicant is not approved for services because they do not meet the criteria for DMH services.
- I received a copy of the *DMH Notice of Privacy Practices* (appended to this request for services).
- I give permission to DMH to communicate about my request for DMH services with the person identified below who assisted with this application. This permission is valid until my application is fully processed or I notify DMH in writing that I revoke it.

Sign

Signature of applicant or legal guardian of the person *Applicant Name (Please Print)* *Date Signed*

- Signed by: Parent Legal Guardian Applicant if an adult or emancipated minor
- **Guardianship or DCF Mittimus documents attached?** Yes No Unknown

PERSON ASSISTING APPLICANT

This section must be completed by the provider or other person assisting the applicant with the application.

Name _____ Relationship _____
(Last) (First) (Relationship to Applicant)

Agency Name: _____

Address: _____
(Number and Street) (Apt No) (City) (State) (Zip Code)

Telephone# _____ Day Evening Cell

PROGRAM OR FACILITY SUBMITTING APPLICATION ON BEHALF OF APPLICANT

This section is to be completed by the program or facility submitting the application on behalf of applicant.

Name of Program or Facility

Name of Applicant

- The applicant/guardian was informed on _____ date that an application was being filed on their behalf and they did not object.
- The applicant lacks capacity and a petition for guardianship was filed in the appropriate court (copy of petition is attached)

Sign

Signature & title of person submitting application

Printed Name of person submitting application

TO SUBMIT RELEASE OF MEDICAL INFORMATION FORMS

As part of the request for DMH Services determination process, DMH will review all available records of mental health care received by the applicant. **Please submit signed *Authorization for Release of Information* forms along with the application.**

1. Please submit one signed *Authorization for Release of Information* form for each provider of mental health care. If mental health care is provided through a clinic, please identify a primary provider of care at that clinic. Make additional copies of this form as needed.
2. In addition, please **submit an *Authorization for Release of Information* form for any other clinical information the applicant would like to have considered as part of the determination.** Make additional copies of this form as needed.
3. Please **check the accuracy** of the provider's name, address, and phone number on each release form. Correct names, addresses and phone numbers expedite the review process.
4. Please be sure to initial and sign all areas on the release of information (including the specially authorized release section)

How many *Authorizations for Release of Information* forms are being submitted with this application?

DMH will also review any medical records that the applicant or those assisting the applicant may have in their possession and wish to submit for consideration.

5. Please complete and sign an *Authorization for Release of Information* form for each medical record that is attached to this application in case DMH staff needs to clarify information contained in the report.
6. Copies of medical records cannot be returned so please do not send original copies.

How many copies of medical reports are attached to this application?

**Authorization for Release of Information
Two Way**

1. Patient/Applicant Information	
Name: _____	Other Names: _____
Street: _____	APT.#: _____
City/Town: _____	State: _____ Zip Code: _____
Social Security #: _____	Date of Birth: _____
Phone : _____	

2. Authorization to Release: I authorize the Department of Mental Health (DMH) to receive and release information, including confidential communications, from or to the Person, Agency or Facility named below, either verbally or in writing.	
Person, Agency or Facility (e.g., name and address of hospital, outpatient provider, residential program, other) Name: _____ Attention: _____ Street: _____ City/Town: _____ State/Zip Code: _____ Phone: _____ Fax: _____	DMH Service Authorization Unit

3. Check to indicate the information you want shared: (check all that apply)		
<input type="checkbox"/> Mental Health Diagnosis and Treatment provided by a Psychiatrist; Psychologist; Mental Health Clinical Nurse Specialist; Licensed Social Worker Counseling; all other Licensed Mental Health Providers.		
<input type="checkbox"/> Entire Mental Health Record, <i>excluding Psychotherapy Notes which require a separate authorization</i>		
<input type="checkbox"/> Discharge Summary	<input type="checkbox"/> Neuropsych Testing	<input type="checkbox"/> Treatment Plans
<input type="checkbox"/> ISPs & IAPs	<input type="checkbox"/> Physical Exam	<input type="checkbox"/> Transfer Summary
<input type="checkbox"/> Admission Documentation		<input type="checkbox"/> Lab Reports

Other (please specify) / additional information: _____

4. Dates of the information you want shared: (Specify dates OR select 3 year period by checking the box)
Dates of Requested Information: From: _____ To: _____
OR <input type="checkbox"/> For the 3 year period prior to the date of this authorization.

Authorization for Release of Information
Two Way

Patient/Applicant Name: _____

5. Please <i>check</i> to indicate you give permission to release the following information if present in your record: (<i>check all that apply</i>)
<input type="checkbox"/> HIV test results (Authorization required for each release request.)
<input type="checkbox"/> Alcohol and Drug Abuse Records Protected by Federal Confidentiality Rules 42 CFR Part 2 Federal rules prohibit any further disclosure of this information unless disclosure is expressly permitted by written authorization of the person to whom it pertains or as otherwise permitted by 42 CFR Part 2.

Purpose of the Release: Service authorization.

I understand that:

- I have a right to revoke this authorization at any time.
- If I revoke this authorization, I must do so in writing and present it to DMH at the DMH address identified on page one or the DMH office in my area. (Find DMH area offices at www.mass.gov/dmh-offices-facilities-and-staff-directory; call 1-800-221-0053; or email dmhinfo@MassMail.State.MA.US.)
- The revocation will not apply to information that has already been released pursuant to this authorization.
- The revocation will not apply to my insurance company when the law provides my insurer with the right to contest a claim under my policy.
- Once the above information is released, the recipient may redisclose it and the information may not be protected by federal or state privacy laws or regulations.
- Authorizing the disclosure of the information identified above is voluntary.
- I need not sign this form to receive treatment or services from DMH and/or the other named person, facility or agency; however, lack of ability to share or obtain information may prevent DMH, and/or the other named person, facility or agency, from providing appropriate and necessary care.

This authorization will expire (specify a date, time period or an event) _____ or, if nothing is specified, it will expire the later of: (i) one year from date of signing; or (ii) if applicable, when I am no longer receiving services from DMH.

6. Signature / Authorization: Sign and provide information as required below.	
X _____	_____
Your signature or Personal Representative's signature	Date

Print name of signer	
The following information is needed if signed by a personal representative:	
Type of authority (e.g., court appointed, custodial parent): _____	
If court appointed provide copy of court order.	

**Authorization for Release of Information
Two Way**

1. Patient/Applicant Information	
Name: _____	Other Names: _____
Street: _____	APT.#: _____
City/Town: _____	State: _____ Zip Code: _____
Social Security #: _____	Date of Birth: _____
Phone : _____	

2. Authorization to Release: I authorize the Department of Mental Health (DMH) to receive and release information, including confidential communications, from or to the Person, Agency or Facility named below, either verbally or in writing.	
Person, Agency or Facility (e.g., name and address of hospital, outpatient provider, residential program, other) Name: _____ Attention: _____ Street: _____ City/Town: _____ State/Zip Code: _____ Phone: _____ Fax: _____	DMH Service Authorization Unit

3. Check to indicate the information you want shared: (check all that apply)		
<input type="checkbox"/> Mental Health Diagnosis and Treatment provided by a Psychiatrist; Psychologist; Mental Health Clinical Nurse Specialist; Licensed Social Worker Counseling; all other Licensed Mental Health Providers.		
<input type="checkbox"/> Entire Mental Health Record, <i>excluding Psychotherapy Notes which require a separate authorization</i>		
<input type="checkbox"/> Discharge Summary		<input type="checkbox"/> Treatment Plans
<input type="checkbox"/> ISPs & IAPs	<input type="checkbox"/> Neuropsych Testing	<input type="checkbox"/> Transfer Summary
<input type="checkbox"/> Admission Documentation	<input type="checkbox"/> Physical Exam	<input type="checkbox"/> Lab Reports

Other (please specify) / additional information: _____

4. Dates of the information you want shared: (Specify dates OR select 3 year period by checking the box)
Dates of Requested Information: From: _____ To: _____
OR <input type="checkbox"/> For the 3 year period prior to the date of this authorization.

Authorization for Release of Information
Two Way

Patient/Applicant Name: _____

5. Please <i>check</i> to indicate you give permission to release the following information if present in your record: (<i>check all that apply</i>)
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Purpose of the Release: Service authorization.

I understand that:

- I have a right to revoke this authorization at any time.
- If I revoke this authorization, I must do so in writing and present it to DMH at the DMH address identified on page one or the DMH office in my area. (Find DMH area offices at www.mass.gov/dmh-offices-facilities-and-staff-directory; call 1-800-221-0053; or email dmhinfo@MassMail.State.MA.US.)
- The revocation will not apply to information that has already been released pursuant to this authorization.
- The revocation will not apply to my insurance company when the law provides my insurer with the right to contest a claim under my policy.
- Once the above information is released, the recipient may redisclose it and the information may not be protected by federal or state privacy laws or regulations.
- Authorizing the disclosure of the information identified above is voluntary.
- I need not sign this form to receive treatment or services from DMH and/or the other named person, facility or agency; however, lack of ability to share or obtain information may prevent DMH, and/or the other named person, facility or agency, from providing appropriate and necessary care.

This authorization will expire (specify a date, time period or an event) _____ or, if nothing is specified, it will expire the later of: (i) one year from date of signing; or (ii) if applicable, when I am no longer receiving services from DMH.

6. Signature / Authorization: Sign and provide information as required below.	
X _____	_____
Your signature or Personal Representative's signature	Date

Print name of signer	
The following information is needed if signed by a personal representative:	
Type of authority (e.g., court appointed, custodial parent): _____	
If court appointed provide copy of court order.	

**Authorization for Release of Information
Two Way**

1. Patient/Applicant Information		
Name: _____	Other Names: _____	
Street: _____	APT.#: _____	
City/Town: _____	State: _____	Zip Code: _____
Social Security #: _____	Date of Birth: _____	
Phone : _____		

2. Authorization to Release: I authorize the Department of Mental Health (DMH) to receive and release information, including confidential communications, from or to the Person, Agency or Facility named below, either verbally or in writing.	
Person, Agency or Facility (e.g., name and address of hospital, outpatient provider, residential program, other) Name: _____ Attention: _____ Street: _____ City/Town: _____ State/Zip Code: _____ Phone: _____ Fax: _____	DMH Service Authorization Unit

3. Check to indicate the information you want shared: (check all that apply)		
<input type="checkbox"/> Mental Health Diagnosis and Treatment provided by a Psychiatrist; Psychologist; Mental Health Clinical Nurse Specialist; Licensed Social Worker Counseling; all other Licensed Mental Health Providers.		
<input type="checkbox"/> Entire Mental Health Record, <i>excluding Psychotherapy Notes which require a separate authorization</i>		
<input type="checkbox"/> Discharge Summary	<input type="checkbox"/> Neuropsych Testing	<input type="checkbox"/> Treatment Plans
<input type="checkbox"/> ISPs & IAPs	<input type="checkbox"/> Physical Exam	<input type="checkbox"/> Transfer Summary
<input type="checkbox"/> Admission Documentation		<input type="checkbox"/> Lab Reports

Other (please specify) / additional information: _____

4. Dates of the information you want shared: (Specify dates OR select 3 year period by checking the box)
Dates of Requested Information: From: _____ To: _____
OR <input type="checkbox"/> For the 3 year period prior to the date of this authorization.

REQUEST FOR DMH SERVICES – Service Authorization Use Only

**Authorization for Release of Information
Two Way**

Patient/Applicant Name: _____

5. Please <i>check</i> to indicate you give permission to release the following information if present in your record: (<i>check all that apply</i>)
<input type="checkbox"/> HIV test results (Authorization required for each release request.)
<input type="checkbox"/> Alcohol and Drug Abuse Records Protected by Federal Confidentiality Rules 42 CFR Part 2 Federal rules prohibit any further disclosure of this information unless disclosure is expressly permitted by written authorization of the person to whom it pertains or as otherwise permitted by 42 CFR Part 2.

Purpose of the Release: Service authorization.

I understand that:

- I have a right to revoke this authorization at any time.
- If I revoke this authorization, I must do so in writing and present it to DMH at the DMH address identified on page one or the DMH office in my area. (Find DMH area offices at www.mass.gov/dmh-offices-facilities-and-staff-directory; call 1-800-221-0053; or email dmhinfo@MassMail.State.MA.US.)
- The revocation will not apply to information that has already been released pursuant to this authorization.
- The revocation will not apply to my insurance company when the law provides my insurer with the right to contest a claim under my policy.
- Once the above information is released, the recipient may redisclose it and the information may not be protected by federal or state privacy laws or regulations.
- Authorizing the disclosure of the information identified above is voluntary.
- I need not sign this form to receive treatment or services from DMH and/or the other named person, facility or agency; however, lack of ability to share or obtain information may prevent DMH, and/or the other named person, facility or agency, from providing appropriate and necessary care.

This authorization will expire (specify a date, time period or an event) _____ or, if nothing is specified, it will expire the later of: (i) one year from date of signing; or (ii) if applicable, when I am no longer receiving services from DMH.

6. Signature / Authorization: Sign and provide information as required below.	
X _____ Your signature or Personal Representative’s signature	_____ Date
_____ Print name of signer	
The following information is needed if signed by a personal representative:	
Type of authority (e.g., court appointed, custodial parent): _____	
If court appointed provide copy of court order.	



COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF MENTAL HEALTH

NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU
MAY BE USED AND DISCLOSED AND
HOW YOU CAN GET ACCESS TO THIS INFORMATION.
PLEASE REVIEW IT CAREFULLY

Notice Effective Date: May 15, 2018

Privacy

The Department of Mental Health (DMH) is required by state and federal law to maintain the privacy and security of your protected health information (PHI). PHI includes any identifiable information about your physical or mental health, the health care you receive, and the payment for your health care. Information about care that you received from other providers may also be included in your PHI.

DMH is required by law to provide you with this notice to tell you how it may use and disclose your PHI and to inform you of your privacy rights. DMH must follow the privacy practices as set forth in its most current Notice of Privacy Practices.

Changes to this Notice

DMH may change its privacy practices and the terms of this notice at any time. Changes will apply to PHI that DMH already has as well as PHI that DMH receives in the future. The most current privacy notice will be posted in DMH facilities and programs, on the DMH website (www.state.ma.us/dmh), and will be available on request. Every privacy notice will be dated.

How DMH MAY Use and Disclosure Your PHI

DMH may use your PHI within the DMH organization and disclose it outside of the organization without your authorization for the following purposes:

- 1. For Treatment** - DMH may use/disclose PHI to doctors, nurses, residents or students and other health care providers that are involved in delivering your health care and related services. Your PHI will be used to assist in developing your treatment and/or service plan and to conduct periodic reviews and assessments. PHI may be disclosed to other health care professionals and providers to obtain prescriptions, lab work, consultations, and other items needed for your care. PHI will be disclosed to health care providers for the purposes of referring you for services and then for coordinating and providing the services you receive.
- 2. For Payment** - DMH may use/disclose your PHI to bill and collect payment for your health care services. DMH may release portions of your PHI to the Medicaid or Medicare program or a third party payor to determine if they will make payment, to get prior approval, and to support any claim or bill.
- 3. For Health Care Operations** - DMH may use/disclose PHI to support activities such as program planning, management and administrative activities, quality assurance, receiving and responding to complaints, compliance programs (e.g., Medicare), audits, training and credentialing of health care professionals, and certification and accreditation (e.g., The Joint Commission).
- 4. Appointment Reminders** - DMH may use PHI to remind you of an appointment or follow up instructions or to provide you with information about treatment alternatives or other health related benefits and services that may be of interest to you.

5. **Business Associates** - DMH may use/disclose PHI to contractors, agents and other business associates who need the information to assist DMH with obtaining payment or carrying out its business operations. If DMH discloses your PHI to a business associate, DMH will have a written contract with that business associate to ensure that it also protects your PHI.
6. **Family and Friends/Clergy** - DMH may disclose a limited amount of PHI for the following purposes:
 - **Clergy** – If you agree, verbally or otherwise, your religious affiliation may be disclosed to clergy.
 - **To Family, Friends or Others** – If you agree or do not object, PHI may be disclosed to persons involved in your care or payment for your care if directly related to their involvement in your care or payment for your care.
7. **Required by Law** - DMH may use/disclose PHI as required by law, such as to report a felony committed on its premises; pursuant to a court order; to report abuse or neglect, and other situations where DMH is required to make reports and/or disclose PHI pursuant to a statute or regulation.
8. **Lawsuits and Disputes** - If you bring a legal action or other proceeding against DMH or our employees or agents, we may use and disclose PHI to defend ourselves.
9. **Other Purposes** - DMH may use/disclose your:
 - For guardianship or commitment proceedings when DMH is a party;
 - For other judicial and administrative proceedings if certain criteria are met;
 - To public health authorities that are to receive reports of abuse or neglect;
 - For research purposes, following strict internal review;
 - To avert a serious and imminent threat to health or safety;
 - To persons involved in your care in an emergency situation if certain criteria are met;
 - To correctional institutions if you are an inmate or you are detained by a law enforcement officer, we may disclose your PHI to the prison officers or law enforcement officers if necessary to provide you with health care, or to maintain safety, security and good order at the place where you are confined;
 - To authorized public health officials for public health activities such as tracking diseases and reporting vital statistics;
 - To government agencies authorized to conduct audits, investigations, and inspections of our facility. These government agencies monitor the operations of the health care system, government benefit programs such as Medicare and Medicaid, and compliance with government regulatory programs and civil rights laws;
 - For workers' compensation claims;
 - For certain specialized government functions if certain criteria are met; and
 - In the unfortunate event of your death, we may disclose your PHI to coroners, medical examiners, funeral directors, and certain organ and tissue procurement organizations.

Uses/Disclosures Requiring Written Authorization

DMH is required to have a written authorization from you or your legally authorized personal representative for uses/disclosures beyond treatment, payment, and health care operations, unless an exception listed above applies. You may cancel an authorization at any time, if you do so in writing. A cancellation will stop future uses/disclosures except to the extent DMH has already acted based upon your authorization.

Authorization is required for most uses and disclosures of psychotherapy notes (these are the notes that certain professional behavioral health providers maintain that record your appointments with them and are not stored in your medical record), certain substance use disorder information, HIV testing or test results, and certain genetic information even if disclosure is being made for treatment, payment, or health care operations purposes as described above.

Although the following types of uses/disclosures are not contemplated by DMH, we need to inform you that any use or disclosure of PHI for marketing that involves financial remuneration to DMH will require an authorization. Similarly, to sell PHI, DMH must obtain an authorization. DMH will not use or disclose your PHI for fundraising purposes.

Your Rights Concerning Your PHI

You or your legally authorized personal representative has the right to:

- Request that DMH use a specific address or telephone number to contact you. DMH will try to accommodate all reasonable requests.
- Obtain, upon request, a paper copy of this notice or any revision of this notice, even if you agreed to receive it electronically.
- Inspect and request a copy of the PHI used to make decisions about your care. When records are kept electronically, you may request an electronic copy. Access to your records may be restricted in limited circumstances. If DMH denies your request, in whole or in part, you may request that the denial be reviewed. Fees may be charged for copying and mailing. Ordinarily, DMH will respond to your request within 30 days. If additional time is needed to respond, DMH will notify you within the 30 days to explain the reason(s) for the delay and indicate when you can expect a final answer to your request. **This request must be made in writing.**
- Request additions or corrections to your PHI. DMH is not required to agree to such a request. If it does not comply with your request, DMH will tell you why in writing within 60 days and notify you of your specific rights in that event. If additional time is needed to respond, DMH will notify you within the 60 days to explain the reason(s) for the delay and indicate when you can expect a final answer to your request. **This request must be made in writing.**
- Request an accounting of disclosures (up to the past six years) which will identify, in accordance with applicable laws, certain other persons or organizations to which DMH disclosed your PHI and why. An accounting will not include disclosures that were: (1) made to you or your personal representative; (2) authorized or approved by you; (3) made for treatment, payment, and health care operations; and (4) some that were required by law to be made. Ordinarily, DMH will respond to your request within 60 days. If additional time is needed to respond, DMH will notify you within the 60 days to explain the reason(s) for the delay and indicate when you can expect a final answer to your request. **This request must be made in writing.**
- Request that DMH restrict how it uses or discloses your PHI. DMH is not required to agree to such restriction, with the exception that if you, or someone on your behalf, pay for a service or health care item out-of-pocket in full, DMH will agree to not disclose PHI pertaining only to that service or item with your health plan for the purpose of payment or health care operation, unless DMH is otherwise required by law to disclose that PHI. **This request must be made in writing.**

The above requests may be made at or submitted to any DMH facility or office.

Record Retention

Your individual records will be retained a minimum of 20 years from the last date you receive services from a DMH inpatient facility and/or from DMH operated community services. After that time, your records may be destroyed.

Breach of PHI

DMH will inform you if a breach of your unsecured PHI occurs.

Complaint

If you believe that your privacy or privacy rights have been violated, or you want to file a complaint, you may contact: DMH Privacy Officer, Department of Mental Health, 25 Staniford Street, Boston, MA 02114, E-mail: DMHPrivacyOfficer@MassMail.State.MA.US, Phone: 617-626-8160, Fax: 617-626-8242. A complaint must be made in writing.

You also may file a complaint with the Secretary of Health and Human Services, Office for Civil Rights, U.S. Department of Health and Human Services, JFK Federal Building, Room 1875, Boston, MA. 02203.

No one may retaliate against you for filing a complaint or for exercising your rights as described in this notice.

Privacy Contact Information

If you want to obtain further information about DMH's privacy practices, or if you want to exercise your rights, you may contact: DMH Privacy Officer, Department of Mental Health, 25 Staniford Street, Boston, MA 02114, E-mail: DMHPrivacyOfficer@MassMail.State.MA.US, Phone: 617-626-8160, Fax: 617-626-8131. A complaint must be made in writing.

You also may contact a DMH facility's medical records office (for that facility's records), a DMH program director (for that program's records), your site office (for case management records), or the human rights officer at your facility or program, for more information or assistance.

DMH Contact Information

If you want to obtain other information (non-privacy related) about DMH and its services you may contact: DMH Information, Department of Mental Health, 25 Staniford Street, Boston, MA 02114, E-mail: dmhinfo@state.ma.us, Phone: 800-221-0053, Fax: (617) 626-8131.

You also may contact your DMH program director, your site office, or the human rights officer at your facility or program, for more information or assistance.